PTO/SB/61 (11-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

erwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Docket Number (Optional)

ION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED **UNAVOIDABLY UNDER 37 CFR 1.137(a)**

First Named Inventor: HUNTER, Charles Eric

Art Unit: 3627

Application Number: 09/493,854

Examiner: O'Connor, Gerald

Filed: January 28, 2000

Title: MUSIC DISTRIBUTION SYSTEMS

Attention: Office of Petitions **Mail Stop Petition** Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450 OFFICE OF PETITIONS

NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (703) 305-9382.

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United Sates Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained.

APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION.

NOTE: A grantable petition requires the following items:

- Petition fee. (1)
- Reply and/or issue fee. (2)
- Terminal disclaimer with disclaimer fee-required for all utility and plant applications filed (3)before June 8, 1995, and for all design applications; and
- (4) Adequate showing of the cause of unavoidable delay.

1. Petition	n fee	
	X	Small entity – fee \$\frac{55.00}{25.00} (37 CFR 1.17(i)). Applicant claims small entity status. See 37 CFR 1.27. (NOTE: Petition Fee previously-submitted AND charged by PTO - copy of Credit Card Statement attached)
		Other than small entity – fee \$ (37 CFR 1.17(I)).
2. Reply a	and/or	fee
A.	The r	reply and/or fee to the above-noted Office action in the form of (identify the type of reply):
	K	has been filed previously on
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В.	The i	ssue fee of \$
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		is enclosed herewith.

[Page 1 of 3]

This collection of information is required by 37 CFR 1.137(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/61 (11-03)
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PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED **UNAVOIDABLY UNDER 37 CFR 1.137(a)**

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X	depos class		eing: stal Service on the date shown below to Mail Stop Petition , Commissione	
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			Adam E. Crall, Reg. N	
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PTO/SB/61 (11-03)
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PETITION FOR PATENT ABANDONED UNAVOIDABLY UNDER 37 CFR 1.137(a)

NOTE: The following showing of the cause of unavoidable delay must be signed by all applicants or by any other party who is presenting statements concerning the cause of delay.

October 25, 2004

RECEIVED

Signature

OCT 2 9 2001

Date 46,646

Adam E. Crall

OFFICE OF PETITIONS

Registration Number, if applicable

Typed or printed name

(In the space provided below, please explain in detail the reasons for the delay in filing a proper reply.)

A Petition to Revive (unavoidable) was previously submitted via facsimile on January 27, 2004. Applicants recently learned that the Patent Office records do not indicate that this petition was received. Therefore, Applicants are filing the present Petition to Revive and request that the originally-filed Petition to Revive be entered or, in the alternative, that the present Petition to Revive by entered.

As evidence of the previously-submitted Petition to Revive, Applicants enclose a copy of the submission faxed to the PTO on January 27, 2004, along with a copy of the credit card statement showing the PTO charge of \$55 on 1/28/2004 for Application Serial No. 09/493,854 for the fee for the previously-submitted Petition to Revive.

(Please attach additional sheets if additional space is needed.)





RECEIVED

OCT 2 9 2004

OFFICE OF PETITIONS

FACSIMILE TRANSMITTAL

TO:MAIL STOP PETITION

EXAMINER: O'CONNOR, GERALD J.

FACSIMILE: (703) 872-9306

PHONE: (703) 305-1525

SUBJECT: RCE

☐ URGENT

From: Gregory S. Smith

DATE: JAN 27, 2004

☐ PLEASE REPLY

No. of pages (including cover): 13

☐ PLEASE RECYCLE

LAVA GROUP FILE #: 05001.1060

COMMENTS:

THIS TRANSMISSION INCLUDES:

☐ FOR REVIEW

PETITION TO REVIVE UNDER 37 CFR 1.137(A)
PTO FORM PTO/SB/61
FEE TRANSMITTAL FORM
CREDIT CARD AUTHORIZATION FORM

115 Perimeter Center Place Suite 150, South Terraces Atlanta, Georgia 30346-1248 TELEPHONE: 404 815 9300 DIRECT: 770 804 9070 FACSIMILE: 770 804 0900

☐ PLEASE COMMENT



PTO/SB/61 (11-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PETITION FOR REVIVAL OF AN ARRLICATION FOR PATENT ABANDO UNAVOIDABLY UNDERFOR 1.137(a)	Docket Number (Ontional)
First Named Inventor: HUNTER, Charles Eric Art Unit: 3627	
Application Number: 09/493,854 Examiner: O'Cont	nor, Gerald
Filed: January 28, 2000	_
Title: MUSIC DISTRIBUTION SYSTEMS	RECEIVED
Attention: Office of Petitions Mail Stop Petition	0C 2 9 2004
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	OFFICE OF PETITIONS
NOTE: If information or assistance is needed in completing this for Petitions Information at (703) 305-9382.	m, please contact
The above-identified application became abandoned for failure to file a timely and p the United Sates Patent and Trademark Office. The date of abandonment is the day period set for reply in the Office notice or action plus any extensions of time actually	y after the expiration date of the
 APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS NOTE: A grantable petition requires the following items: (1) Petition fee. (2) Reply and/or issue fee. (3) Terminal disclaimer with disclaimer fee-required for a before June 8, 1995, and for all design applications; (4) Adequate showing of the cause of unavoidable delay 	all utility and plant applications filed
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Small entity – fee \$ 55.00 (37 CFR 1.17(I)). Applicant claim See 37 CFR 1.27.	ns small entity status.
Other than small entity – fee \$ (37 CFR 1.17(I)).	
2. Reply and/or fee	
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is enclosed herewith.	

[Page 1 of 3]

[Page 1 or 3]
This collection of information is required by 37 CFR 1.137(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

g oct	U.S. Patent and Trademark Office: U.S. DEP	PTO/SB/61 (11-03) 07/31/2006. OMB 0651-0031 PARTMENT OF COMMERCE
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January 27, 2004	1600	OCT 2 9 200
Date	Signature	OFFICE OF PETITIONS
(770) 804-9070	Gregory Scott Smith, Lava Group La	w by
Telephone Number	Smith and Frohwein Typed or printed name	
40,819	P.O. Box 88148	
Registration Number, if applicable	Address	
	Atlanta Georgia 30356	
	Address	
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☐ Terminal Disclaimer Form		
Additional sheets containing	statements establishing unavoidable delay	
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January 27, 2004		
Date	Signature	
	Gregory Scott Smith	
	Typed or printed name of person signing cer	tificate

respond to a collection of information unless it displays a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are require

PETITION FOR REVIVAE' AN APPLICATION FOR PATENT ABANDONED **UNAVOIDABLY UNDER 37 CFR 1.137(a)**

NOTE:

The following showing of the cause of unavoidable delay must be signed by all applicants or by any other party who is presenting statements concerning the cause of delay.

January 27, 2004

RECEIVED

OCT 2 9 2004

40,819

Gregory Scott Smith Typed or printed name

OFFICE OF PETITIONS

Registration Number, if applicable

(In the space provided below, please explain in detail the reasons for the delay in filing a proper reply.)

This application for United States Patent was unavoidably abandoned. A final Office Action was mailed by the USPTO on October 16, 2002. On April 16, 2003, counsel for the applicant filed a Notice of Appeal along with a Petition for a Three Month Extension of Time and the Necessary Filing Fees.

Subsequent to filing the Notice of Appeal, the applicant entered into bankruptcy proceedings and on August 26, 2003 Exodus Capital LLC received a court order that among other things, authorized them to take any action necessary to preserve the value of the applicant's collateral, which included the present application.

On September 12, 2003, Exodus Capital, LLC was informed by the counsel at the time, that they were withdrawing from representation. Exodus Capital, LLC then sought new counsel and selected the present law firm - LAVA Group Law by Smith & Frohwein.

Upon docketing of the new files, the present counsel discovered that an Appeal Brief was never filed for this case and immediately filed a Request for Continued Examination on November 11, 2003.

On December 11, 2003, the Office mailed an Official Communication indicating that the appeal was dismissed for failure to file an Appeal Brief and that the application was abandoned. The Office mailed this Official Communication to the previous counsel rather than the present counsel.

On January 9th, the present counsel received the Official Communication from the previous counsel, instructed Exodus Capital LLC regarding potential options and requested approval to take action. Exodus Capital LLC has now obtained approval to continue prosecution of the application.

Thus, the entire time from the abandonment of the application to the filing of this petition was unavoidable due to the various circumstances (a) bankruptcy proceedings. (b) withdraw of previous counsel, (c) identification of new counsel, and (d) transfer of files to new counsel. The Offices granting of this petition is greatly appreciated.

(Please attach additional sheets if additional space is needed.)

PTO/SB/17 (10-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE respond to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no

FEE TRANSM for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 55.00

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Complete if Known			
Application Number	09/493,854		
Filing Date	January 28, 2000 DECEIVED		
First Named Inventor	January 28, 2000 HUNTER, Charles Eric OCONNOR Correl 10CT 2, 9, 2004		
Examiner Name	O'CONNOR, Gerald J.OCT 2 9 2004		
Art Unit	3627		
Attorney Docket No.	05001.1060 OFFICE OF PETITION		

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)	
☐ Check ✓ Credit card ☐ Money ☐ Other ☐ None	3. ADDITIONAL FEES		
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	•	501 665 Utility issue fee (or reissue)	
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SUBMITTED BY (Complete (if applicable)) Registration No. Name (Print/Type) Gregory Scott Smith 40,819 Telephone 770.804.9070 (Attorney/Agent) Signature January 27, 2004

WARNING Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE U.S. PATENT AND TRADEMARK OFFICE

Pat./Ser.	No.:
Inventor:	

Title:

09/493,854

HUNTER, Charles Eric

MUSIC DISTRIBUTION SYSTEMS

Docket No.:

05001.1060

Date:

October 25, 2004

	
Transmittal Form Specification _ pages Executed Declaration Power of Attorney Informal Drawings _ sheet(s) Formal Drawings _ Sheet(s) Assignment with Recordation Cover Sheet Information Disclosure Statement (PTG Serios A) Copies of IDS references Copy of Credit Card Statement Showing PTO Charge of \$55 on 1/28/2004 for App. Ser. No. 09/493,854 (Petition Fee for Previously-Submitted Petition for Revival (1/27/2004))	Petition for Extension of Timemonths Response to Notice of Missing Parts Amendment: OA dated Petition for Revival (Unavoidably Abandoned) Notice of Appeal and Fee Issue Fee Advance Patent Copies Fee Transmittal Form (PTO/SB/17) Credit Card Payment Form 2038 Copy of International Application Previously-Submitted Petition for Revival (Unavoidably Abandoned) and Accompanying Documents/Statements - Submitted January 27, 2004

Express mail# <u>ER 834808751 US</u>

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Might have been a
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In Re Application of:

Serial No.:

Filed:

HUNTER, Charles Eric

09/493,854

January 28, 2000

Title: MUSIC DISTRIBUTION

SYSTEMS

OCT 2 9 2004

Group Art Unit: 3627

OFFICE OF PETITIONS

Examiner

: O'CONNOR, Gerald J.

Atty. Docket # : 05001.1060

PETITION TO REVIVE UNDER 37 CFR 1.137(a)

Mail Stop Petition Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

To the Office:

Applicant's attorneys are now in receipt of an Official Communication from the USPTO that was mailed on December 11, 2003. Please note that a Revocation and Appointment of a New Power of Attorney was filed on November 11, 2003 and that the docketing number and the mailing address for this application should be changed as follows:

Docket Number:

05001.1060

Mailing Address:

35856

LAVA Group Law by Smith and Frohwein

P.O. Box 88148

Atlanta, Georgia 30356

Petition to Revive

The applicant respectfully petitions the United States Patent Office under 37 CFR 1.137(a) to revive this above-identified United States Patent Application because the abandonment of the application was unavoidable.

The Office mailed an Official Communication on December 11, 2003 indicating that the above-identified United States Patent Application was abandoned for failing to timely file an Appeal Brief. The applicants attach Petition for Revival (form PTO/SB/61) setting forth the detailed reasons for the delay in filing a proper reply.

In this communication to the Office, the applicant submits the following items:

Petition for Revival PTO/SB/61;

Fee Transmittal Sheet;

Credit Card Authorization to charge the necessary fees; and

Reply to October 16, 2003 Office Action.

A Request for Continued Examination was filed with the Office on November 11, 2003.

Amendments

Please amend the claims as follows:

Claims 1-41. (canceled)

Claim 42. (currently amended) A system for recording music, comprising:

a plurality of user stations at dispersed customer locations, the user stations including means for receiving and recording transmitted music selections;

a control system blanket transmitting music selections to the user stations; and
a communications link between the user stations and the control system to verify
to the control system when selected particular music selections from the blanket transmitted
music selections have been chosen for being recorded; and

wherein the control system includes means for billing the customer locations for the particular music selections that are recorded.

- Claim 43. A system according to claim 42, wherein each of the user stations includes a graphical user interface to help customers select music selections for recording.
- Claim 44. A system according to claim 43, wherein the graphical user interface identifies music selections by artist, title and category.
- Claim 45. A system according to claim 43, wherein each of the user stations includes a storage means for initially storing the transmitted selections.
- Claim 46. A system according to claim 45, wherein each of the user stations includes means for the customer to preview the stored music selections at no charge, and thereafter to decide whether to purchase a permanent copy of the previewed music selections.

Claim 47 A system according to claim 42, wherein when the customer decides to purchase a music selection, the graphical user interface prompts the customer to insert a recording medium into the user station.

Claim 48. A system according to claim 42, wherein the customer locations are customer households.

Claim 49. A system according to claim 42, wherein each user station includes a compact disc writer for recording the selected music selections.

Claim 50. A system according to claim 42, wherein the control system includes a database for storing a plurality of addresses, each of said locations being associated with one of said addresses.

Claim 51. A system according to claim 42, wherein the control station includes means to update music pricing at any time.

Claims 52-97. (canceled)

Remarks

The applicant's respectfully submit that each of the issues raised by the Office in the October 16, 2003 Official Communication are fully addressed by the provided amendments and the following remarks. Therefore, applicant respectfully requests the Office to enter these amendments and issue an allowance for the amended claims.

Claims 1-41 and 52-97 have been canceled. The remaining claims, claims 42-51 have been rejected by the Office under 35 U.S.C. 102(b) as being anticipated by U.S. Patent Number 5,557,541 to Schulhof et al. and U.S. Patent Number 5,418,713 to Allen. Claim 42 is the only pending independent claim with claims 43-51 depending either directly or indirectly from claim 42.

With regards, to claim 42, the applicant submits that the cited references to not describe, suggest or teach each and every element of the claim. For instance, claim 42 recites a control system that blanket transmits music selections to a plurality of user stations. Claim 42 has been amended to more clearly indicate that this blanket transmission occurs independent of the particular music selections that are "chosen" for recording. The control system is not aware of which music selections will be chosen by the user station. Thus, a communications link must be used to notify the control system that a music selection has been recorded. The references cited by the Office deal with a system that identifies to a central storage facility which songs are desired and then, the central storage facility "selectively" transmits the music selections and already has knowledge of the billing information.

The applicant respectfully submits that claim 42 is clearly allowable over the cited references. If the Office does not agree with the applicant's argument, the applicant's

respectfully request the Office to point out the specific portion of the references that disclose such aspects.

With regards to the remaining claims, claims 43-51 either depend directly or indirectly from claim 42 and, because claim 42 is in condition for allowance, the applicant submits that these claims are also in condition for allowance.

On November 11, 2003, applicants' submitted a credit card voucher for \$1390.00 to cover the cost of filing the RCE (\$385) and a 5 month extension of time (\$1005). Applicant's submit with this response a credit card authorization for \$55.00. Applicant requests the Office to charge the necessary fees and credit any overpayments to the credit card number identified in the attached authorization.

Conclusion

Applicant respectfully submits that upon acceptance of the applicant's petition to revive this application, all claims are in condition for allowance and respectfully requests that the case be processed to issuance.

Respectfully submitted,

Gregory Scott Smith

Reg. No. 40,819

Attorney for Applicant

LAVA Group Law by Smith & Frohwein PO Box 88148 Atlanta, Georgia 30356 (770) 804-9070 January 27, 2004

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